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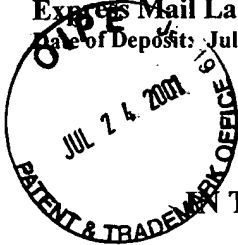
Date of Deposit: July 24, 2001

Attorney Docket No. 17810-513 (SCI-13)

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Bjorklund, *et al.*

SERIAL NUMBER: 09/693,043

EXAMINER: Not Yet Assigned

FILING DATE: October 20, 2000

ART UNIT: 1643

FOR: METHODS FOR INDUCING *IN VIVO* PROLIFERATION AND MIGRATION OF  
TRANSPLANTED PROGENITOR CELLS IN THE BRAIN

**Box IDS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

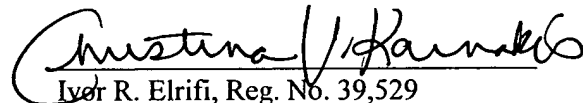
Transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Supplemental Information Disclosure Statement (2 pages), in duplicate;
- ☒ Modified Form 1449/PTO (1 page), in duplicate;
- ☒ Copies of cited references A15, B11 and C9-C11; and
- ☒ Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 17810-513 (SCI-13). A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,



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Christina V. Karnakis, Reg. No. 45,899

Attorneys for Applicant

c/o MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY AND POPEO, P.C.

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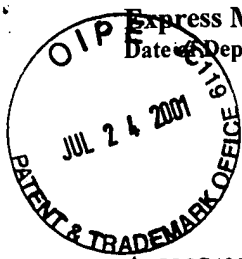
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Date: July 24, 2001

TRA 1544268v1



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

**U.S. Patent Documents:**

5,968,829	Carpenter, Melissa	1999-10-19
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**Foreign Patent Documents:**

WO 99/06060	University of California	1999-02-11
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**Other Prior Art – Non Patent Literature Documents:**

International Search Report, issued 2001-05-31

Fricker-Gates, Rosemary, *et al.*, October 2000. "EGF infusion stimulates the proliferation and migration of embryonic progenitor cells transplanted in the adult rat striatum." *Exp. Neurology*, vol. 165, no. 2, pages 237-247.

Winkler, *et al.*, 1997. "Effects of intraventricular EGF-delivery on transplants of EGF-responsive neural progenitor cells." *Soc. for Neuroscience Abstracts*, vol. 23, no. 1-2, page 593.

This Information Disclosure Statement is being been filed:



within three months of the filing date of the National Application;

APPLICANTS: Bjorklund, *et al.*  
U.S.S.N.: 09/693,043

- ☐ within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International Application; or
- ☒ within three months of the mailing date of the International Search Report;
- ☐ before the mailing date of a first Office Action on the merits in the above-identified case.

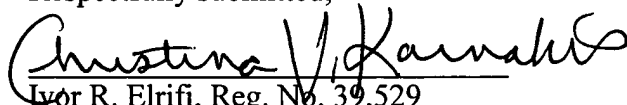
Accordingly, no fee or certification is required. 37 C.F.R. §1.97

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 17810-513 (SCI-13).

Respectfully submitted,



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Dated: July 24, 2001

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